

# Holographic reduction: a domain changed application and its partial converse theorems

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Holographic  
reduction:  
a domain  
changed  
application  
and its  
partial  
converse  
theorems

Mingji Xia

## Outline

Definitions &  
holographic  
reduction

A domain  
changed  
application

Converse of  
holographic  
reduction

① Definitions & holographic reduction

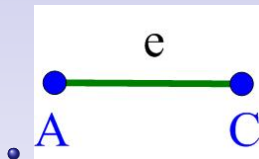
② A domain changed application

③ Converse of holographic reduction

- Product of vectors and matrices.

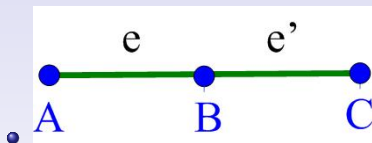
$$AC = \sum_{e \in [n]} a_e c_e$$

$$A = (a_e), C = (c_e).$$



$$ABC = \sum_{e, e' \in [n]} a_e b_{ee'} c_{e'}$$

$$A = (a_e), B = (b_{ee'}), C = (c_{e'}).$$



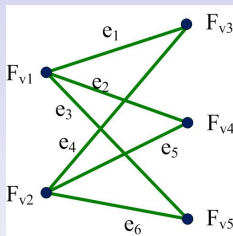
- Vector  $A$  (resp.  $C$ ) is also a unary function

$$e \in [n] \rightarrow a_e$$

- Matrix  $B$  is also binary function

$$(e, e') \in [n]^2 \rightarrow b_{ee'}$$

- The input of #F|H is a bipartite graph  $G(U, V, E)$ . Each vertex  $v \in U \cup V$  has a function  $F_v$ .



- The value of this problem is

$$\sum_{e_1, \dots, e_t \in [n]} \prod_{v \in U \cup V} F_v(e_{v,1}, \dots, e_{v,d_v}),$$

where  $e_{v,i}$  is the  $i$ th edge of  $v$ .

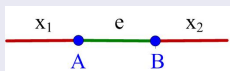
- If  $v \in U$ ,  $F_v \in \mathbf{F}$ .  
If  $v \in V$ ,  $F_v \in \mathbf{H}$ .

- Except defining the value (a function of arity 0) of an instance, we can also define the function of a gadget.

### Example

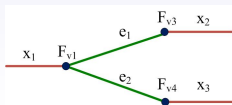
$$(AB)(x_1, x_2) = \sum_e a_{x_1, e} b_{e, x_2}$$

$$A = (a_{x_1, e}), B = (b_{e, x_2}).$$



- Given a gadget  $\Gamma$ , we can define its function  $F_\Gamma$  in its **external edges**  $\{x_i\}$ , by a summation over its **internal edges**  $\{e_i\}$ , where  $e_{v,i}$  is the  $i$ th edge of  $v$ .

$$F_\Gamma(x_1, \dots, x_s) = \sum_{e_1, \dots, e_t \in [n]} \prod_{v \in UV} F_v(e_{v,1}, \dots, e_{v,d_v}).$$



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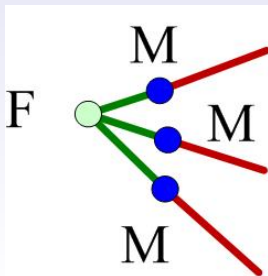
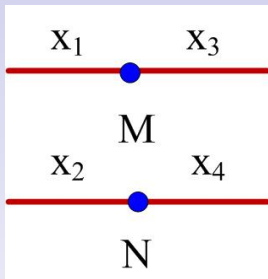
$$M \otimes N$$

$$(M \otimes N)_{x_1 x_2, x_3 x_4} = M_{x_1, x_3} N_{x_2, x_4}$$

We also use a vector of length  $[n]^k$  to denote a function of arity  $k$ .

$$F(M \otimes M \otimes M)$$

Also denoted as  $F(M^{\otimes 3})$ .



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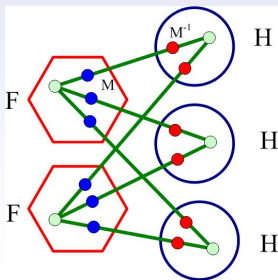
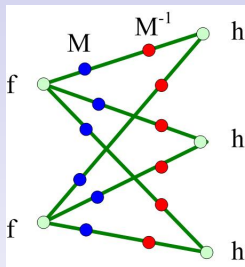
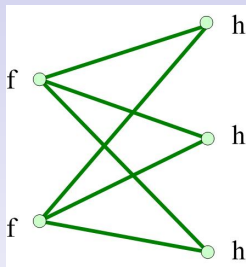
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$$AB = AEB = AMM^{-1}B = (AM)(M^{-1}B). \quad (E \text{ is identity matrix.})$$



## Theorem (Valiant 2004)

$\#\{f\}|\{h\}$  and  $\#\{F\}|\{H\}$  have the same value.

$$F = fM^{\otimes 3},$$

$$H = (M^{-1})^{\otimes 2}h.$$

- A symmetric function  $F$  of arity  $k$  in Boolean variables is denoted as  $[f_0, f_1, \dots, f_k]$ , where  $f_i$  is the value of  $F$  on inputs of weights  $i$ .
- $F_1 = [a_0, a_1] = [0, 1]$ ,  
 $F_2 = [a_0, a_1, a_2] = [0, 1, 1]$ ,  
 $F_3 = [a_0, a_1, a_2, a_3] = [0, 1, 1, 2]$ , ...  
 $F_k = [a_0, a_1, \dots, a_k]$ .  
 ( $\{a_i\}$  is Fibonacci sequence.)
- $=_k$  denotes the equivalent relation of arity  $k$ .  
 $(=_k) = [1, 0, \dots, 0, 1]$ .  $(=_k^{a,b}) = [a, 0, \dots, 0, b]$ .
- For any  $d$ , there is holographic reduction between  
 $\#\{=_1^{1,-1}, \dots, =_d^{1,-1}\} | \{=_2^{\varphi, \varphi^{-1}}\}$  and  $\#\{F_1, \dots, F_d\} | \{=_2\}$ .  
 The base  $M$  is  $\begin{pmatrix} 1 & \varphi \\ 1 & 1 - \varphi \end{pmatrix}$ , where  $\varphi = \frac{\sqrt{5}-1}{2}$ .



- For any  $d$ , there is holographic reduction between  $\# \{ (=_{1, -1}^1, \dots, =_{d, -1}^1) \} | \{ (=_{2, \varphi^{-1}}^{\varphi, \varphi^{-1}}) \}$  and  $\# \{ F_1, \dots, F_d \} | \{ (=_2) \}$ .

The base  $M$  is  $\begin{pmatrix} 1 & \varphi \\ 1 & 1 - \varphi \end{pmatrix}$ , where  $\varphi = \frac{\sqrt{5}-1}{2}$ .

- Because  $\sqrt{5} a_k = \varphi^k - (1 - \varphi)^k$ ,  
 $\sqrt{5} F_k = (1, \varphi)^{\otimes k} - (1, 1 - \varphi)^{\otimes k}$ .
- Because  $(=_{k, -1}^1) = (1, 0)^{\otimes k} - (0, 1)^{\otimes k}$ ,

$$(=_{k, -1}^1) M^{\otimes k} = \sqrt{5} F_k.$$

- 

$$(M^{-1})^{\otimes 2} (=_{2, \varphi^{-1}}^{\varphi, \varphi^{-1}}) = (=_2)$$

$F_k = [a_0, a_1, \dots, a_k]$ , for any  $d$ ,  $\#\{F_1, F_2, \dots, F_d\}|\{=2\}$  is polynomial time computable.

A problem is  $\#P$ -hard, if all problems in  $\#P$  can be reduced to it.

### Lemma

$P_{k+1} = [a_0, a_1, \dots, a_k, -2a_k]$ , for any  $d \geq 2$ ,  $\#\{F_1, F_2, \dots, F_d, P_{d+1}\}|\{=2\}$  is  $\#P$ -hard.

### Theorem

For any  $d \geq 2$ , there exists a complex binary function  $H_d$  such that  $\#\{=1, =2, \dots, =_{d+1}\}|\{H_d\}$  is  $\#P$ -hard, but  $\#\{=1, =2, \dots, =_d\}|\{H_d\}$  is polynomial time computable.

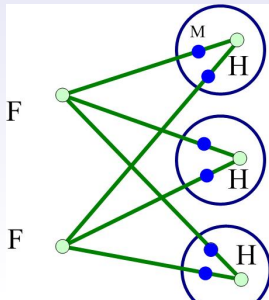
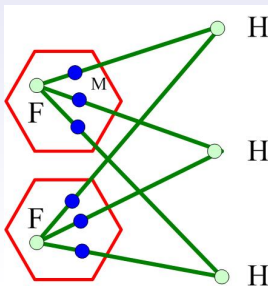
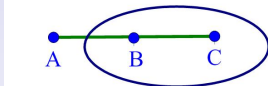
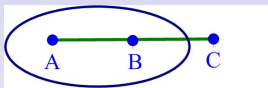
- Only need to build a holographic reduction from  $\#\{F_1, F_2, \dots, F_d, P_{d+1}\}|\{=2\}$  (domain is  $[2]$ ) to  $\#\{=1, =2, \dots, =_{d+1}\}|\{H_d\}$  (domain is  $[m]$ ). (This is also a holographic reduction from  $\#\{F_1, F_2, \dots, F_d\}|\{=2\}$  to  $\#\{=1, =2, \dots, =_d\}|\{H_d\}$ .)

Many hardness results are strengthened to maximum degree bounded version.

- $\text{SAT} \rightarrow 3\text{SAT}$  (NP-hard)
- $\#\text{SAT} \rightarrow \#\text{2SAT}$  ( $\#\text{P}$ -hard)
- Lots in [Vadhan 2001] .....
- $\#\text{CSP}(\mathbf{F})(\#\{=_1, =_2, \dots\}|\mathbf{F}) \rightarrow \#\{=_1, =_2, =_3\}|\mathbf{F}$  (each variables occurs at most 3 times), where  $\mathbf{F}$  is composed of complex functions in Boolean variables. [Cai, Lu, Xia 2009]  
By this result, our theorem does not hold for Boolean domain.  
By our theorem, we can not get this kind of strong degree bounded  $\#\text{P}$  hardness results for general counting problem classes.
- If  $\#\text{CSP}(\{G\})$  is hard, there exists some  $d$  (depends on  $G$ ) such that  $\#\{=_1, \dots, =_d\}|\{G\}$  is hard, where  $G$  is a 0-1 weighted undirected graph. [Dyer, Greenhill 2000]

Matrix multiplication satisfies associative law.

$$(AB)C = A(BC).$$



## Theorem (Valiant 2004)

$\#\{FM^{\otimes 3}\}|\{H\}$  and  
 $\#\{F\}|\{M^{\otimes 2}H\}$  have the  
 same value.

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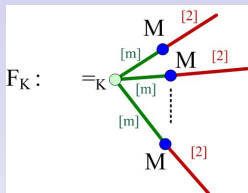
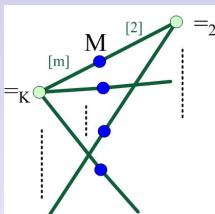
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Definitions & holographic reduction

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Converse of holographic reduction

From  $\#\{F_1, F_2, \dots, F_d\} | \{=2\}$   
 to  $\#\{=1, =2, \dots, =d\} | \{H_d\}$



- Find  $M$  such that  $F_k = (=k)M^{\otimes k}$ . Recall,

Theorem: there exists  $H_d(= M'M)$ , ...

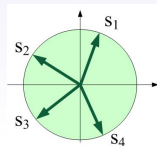
- Two small tricks for constructing such a  $M$ .

- $[a_0, a_1, a_2, \dots, a_k] = \sum_{i=0}^k \frac{c_i}{c} [1, r_i, r_i^2, \dots, r_i^k]$ .

If  $r_i$  are different integers, there is an integer solution for  $c_i$  and  $c$ .

$|c_i| [1, r_i, r_i^2, \dots, r_i^k]$  can be realized in  $(=k)M^{\otimes k}$  by setting  $|c_i|$  rows of  $(1, r_i)$  in  $M$ .

If  $c_i$  is negative, we utilize  $\sum_{s=1}^k r_s^i = -1$  for  $i = 1, 2, \dots, k$ , where  $r_s = e^{\frac{2\pi s}{k+1}}$ .



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- In this application, just some problems examples are constructed. There is no requirement on domain size  $m$  and function  $H_d$ .
- Under these weak requirements, we realize a strong transformation on one side by holographic reduction.
- Open problem:  
Assume  $FP \neq \#P$ . Is there some class of problems, such that predicating the complexity of these problems is uncomputable?  
In some papers about dichotomy theorems, it is considered whether their dichotomy theorems are computable, and some of them are open.

## Conjecture

*Under some conditions, the converse of holographic reduction holds.*

For example,

$\#\{f\}|\{h\}$  and  $\#\{F\}|\{H\}$  have the same value, where  $f$  and  $F$  are ternary functions, and  $h$  and  $H$  are binary functions. Then, there exists nonsingular matrix  $M$  such that,

$$F = fM^{\otimes 3},$$

$$H = (M^{-1})^{\otimes 2}h.$$

It does not hold for some special cases. For example,  $\#\{(1, 0), (1, 0)\}|\{(4, 1)\}$  and  $\#\{(1, 1), (1, 2)\}|\{(4, 0)\}$ .

### Theorem (Lovász 1967, Dyer, Goldberg, Paterson 2006)

*Suppose  $H_1$  and  $H_2$  are directed acyclic graphs. If for all directed acyclic graphs  $G$ , the number of homomorphisms from  $G$  to  $H_1$  is equal to the number of homomorphisms from  $G$  to  $H_2$ , then  $H_1$  and  $H_2$  are isomorphic.*

- If there is a holographic reduction between  $\#\mathbf{R}_=|\{H_1\}$  and  $\#\mathbf{R}_=|\{H_2\}$ , it can be proved that the base  $M$  is a permutation matrix.

$\mathbf{R}_=$  denotes  $\{=_1, =_2, \dots, =_k, \dots\}$ .



In the following, we only consider  $\#\mathbf{F}|\{=2\}$ . Range is real number.

- Domain is arbitrary  $[d]$ .
  - $\mathbf{F}$  is composed of unary functions.
  - $\mathbf{F}$  is composed of one symmetric binary function.
  - $\mathbf{F}$  is composed of two symmetric binary functions  $F_1$  and  $F_2$ . All eigenvalues of  $F_1$  are equal.
  - $\mathbf{F}$  is composed of two symmetric binary functions  $F_1$  and  $F_2$ . All eigenvalues of  $F_1$  are different, so is  $F_2$ .
- Domain is  $[2]$ .
  - $\mathbf{F}$  is composed of two symmetric binary functions.
  - $\mathbf{F}$  is composed of one unary function and one symmetric binary function.
  - $\mathbf{F}$  is composed of one symmetric ternary function.

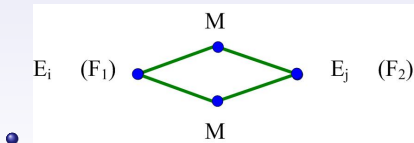
Domain is arbitrary  $[d]$ .  $\mathbf{F}$  is composed of unary functions.  $\#\{F_1, \dots, F_k\}|\{=2\}$  and  $\#\{H_1, \dots, H_k\}|\{=2\}$  have the same value. That is,

$$F'F = H'H,$$

where matrices  $F = (F_1, F_2, \dots, F_k)$  and  $H = (H_1, H_2, \dots, H_k)$ . The base of holographic reduction is simply  $HF^{-1}$ . Because  $F'F = H'H$ ,  $M'M = E$ .  $M$  change  $=_2$  into  $=_2$ .

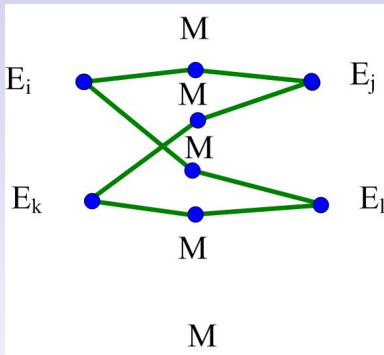
Domain is arbitrary  $[d]$ .  $\mathbf{F}$  is composed of two symmetric binary functions  $F_1$  and  $F_2$ . All eigenvalues of  $F_1$  are different, so is  $F_2$ .  $\#\{F_1, F_2\}|\{=2\}$  and  $\#\{H_1, H_2\}|\{=2\}$ .

- By the result for one symmetric function, we only need to prove for  $\#\{F_1, MF_2M'\}|\{=2\}$  and  $\#\{F_1, TF_2T'\}|\{=2\}$ , where  $F_1$  and  $F_2$  are diagonal matrix with different diagonal entries, and  $M, T$  are orthogonal matrices.
- Using polynomial interpolation method, we can realize  $E_i$  by  $F_1$  and  $F_2$ .  
 $E_i(x, y)$  is always zero, except  $E_i(i, i) = 1$ .



Because  $\text{tr}(E_i M E_j M') = \text{tr}(E_i T E_j T')$ ,  $M_{ij}^2 = T_{ij}^2$ .

- $(\frac{M_{ij}}{T_{ij}})^2 = \pm 1$ , assume  $T_{ij}$  is not zero.



Because  $\text{tr}(E_i M E_j M' E_k M E_l M') = \text{tr}(E_i T E_j T' E_k T E_l T')$ ,  
 $\frac{M_{ij}}{T_{ij}} \frac{M_{il}}{T_{il}} \frac{M_{kj}}{T_{kj}} \frac{M_{kl}}{T_{kl}} = 1$ .

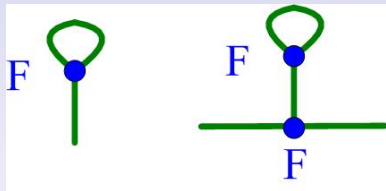
Consider matrix  $R = (\frac{M_{ij}}{T_{ij}})$ . This means, the  $2 \times 2$  submatrix  $R_{ik,jl}$  has rank 1.

- We can prove  $R$  has rank 1. (If there are zero  $T_{ij}$ , the proof is quite a few more complicated.)

- $R = uv'$ , then  $UTV = M$ .  
 $u, v$  are column vector composed of 1 and  $-1$ .  
 $U$  (resp.  $u$ ) is the diagonal matrix whose diagonal is  $u$  (resp.  $v$ ).
- Compose  $U$  with  $F_1$ .  $UF_1U' = F_1$ .  $VF_2V' = F_2$ .

Domain is  $[2]$ .  $\#\{F\}|\{=2\}$  and  $\#\{H\}|\{=2\}$ .

We construct some gadgets to prove this case.



This does not work for  $F = [x, y, -x, -y]$ . The value of  $\#\{F\}|\{=2\}$  is always zero on non-bipartite graphs.

There is a direct proof for  $[x, y, -x, -y]$  case.

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- Whether the converse of holographic reduction holds?
- If it does not hold, the condition is not a necessary condition.  
Can we find some other sufficient conditions and reductions?
- If it does hold, can we utilize it to prove complexity results?

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# Thank you !